

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of  
STEVENS et al.

Serial No. 10/539,451

Filed: June 20, 2005

For: 4-(1-(SULFONYL)-1H-INDOL-2-YL)-4-(HYDROXY)-  
CYCLOHEXA-2,5-DIENONE COMPOUNDS AND  
ANALOGS THEREOF AS THERAPEUTIC AGENTS



Atty. Ref.: 620-368

Group: unknown

Examiner: Unknown

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

December 19, 2005

Sir:

**INFORMATION DISCLOSURE STATEMENT**

- 1. **PTO-1449 Pursuant to 37 CFR 1.97(b)**  
[within 3 months of filing or prior to 1st Office Action on the merits]  
N/C
- 2.(a) **Statement Pursuant to 37 CFR 1.97(c)**  
[before Final Office Action or Allowance (requires Rule 97(e)  
Statement or Rule 17(p) fee)]  
N/C
- 2 .(b) **Fee Payment Pursuant to 37 CFR 1.97(c)**  
[before Final Office Action or Allowance (requires Rule 97(e)  
Statement or Rule 17(p) fee)]  
\$180.00
- 3. **Pursuant to 37 CFR 1.97(d)**  
[after Final Office Action or Allowance (requires Rule 97(e)  
Statement and Rule 17(p) fee), but before final fee payment]  
\$180.00

The following are submitted in the above-identified application in compliance with 37 C.F.R. §§ 1.97 and 1.98:

4. A list of documents on Form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document (such as a Search Report) is enclosed herewith.

This paper is submitted in accordance with:

5. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]

6. 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and

a) The required Statement made in item 8 below; or

b) The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 9 below.

7. 37 CFR §1.97(d): [after Final Office Action or Allowance (requires Rule 97(e) Statement and Rule 17(p) fee), but before final fee payment]; and

a) The fee (\$180.00) required by 37 CFR §1.17(p) is submitted herewith; and

b) The required Statement is stated in item 8 below.

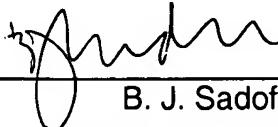
8. Statement under 37 CFR 1.97(e)

a) The undersigned attorney of record hereby certifies under 37 C.F.R. §1.97(e) that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement (each item contained in this IDS was the first citation of that item by a foreign patent office in a counterpart foreign application which occurred no more than three months prior to the filing of this IDS); or

b) No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement, after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

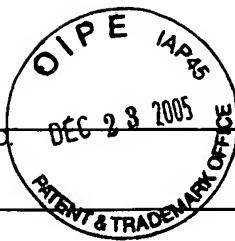
9. Please charge all deficiency fees associated with the submission of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 14-1140. An original and one (1) copy of this document are enclosed.

Respectfully submitted,  
NIXON & VANDERHYE P.C.

By: 

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## **INFORMATION DISCLOSURE CITATION**

ATTY. DOCKET NO.

**SERIAL NO.**

620-368  
APPLICANT

10/539,451

STEVENS et al.

(Use several sheets if necessary)

**FILING DATE**

## GROUP

June 20, 2005

unknown

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

**OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)**

|  |   |
|--|---|
|  | De Costa et al, J. Chem. Soc. Perkin Trans. 1, 1992, pp 1671-1680                                     |
|  | Fukushima et al, Yakugaku Zasshi, 1969, Vol. 89, No. 9, pp 1272-1275 (with English language abstract) |
|  | Noro et al, Yakugaku Zasshi, 1969, Vol. 89, No. 6, pp 851-856 (with English language Abstract)        |
|  | Netherland (NL) Patent No. 6513784 dated 27 April 1966 (with English language Abstract)               |

\*Examiner

**Date Considered**

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

Form PTO-FB-A820 (Also PTO-1449)